

8-18-03 10/

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
0112300-140

In Re Application Of: Bayard S. Webb et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/689,510	October 12, 2000	Unknown	3713

Title: **GAMING DEVICE HAVING AN AWARD EXCHANGE BONUS ROUND AND METHOD FOR  
REVEALING AWARD EXCHANGE POSSIBILITIES**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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Unknown

Group Art Unit  
3713

**GAMING DEVICE HAVING AN AWARD EXCHANGE BONUS ROUND AND METHOD FOR  
AWARD EXCHANGE POSSIBILITIES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**  
as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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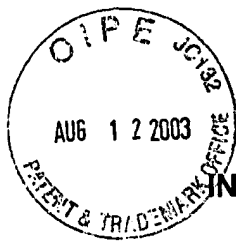
\*This certificate may only be used if paying by  
deposit account.

*Adam H. Masia*  
Signature

Dated: **August 12, 2003**

**Adam H. Masia (Reg. No. 35,602)**  
**BELL, BOYD & LLOYD LLC**  
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CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Bayard S. Webb, et al.  
Appl. No.: 09/689,510  
Filed: October 12, 2000  
Title: GAMING DEVICE HAVING AN AWARD EXCHANGE BONUS ROUND  
AND METHOD FOR REVEALING AWARD EXCHANGE POSSIBILITIES  
Art Unit: 3713  
Examiner: Steven L. Ashburn  
Docket No.: 0112300-140

Honorable Commissioner for Patents  
Washington, DC 20231

**RECEIVED**  
AUG 15 2003  
TECHNOLOGY CENTER R3700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
1,978,395	April 23, 1934	R. Groetchen
4,326,351	April 27, 1982	Heywood et al.
6,086,066	July 11, 2000	Takeuchi et al.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 144 644 A	March 13, 1985	Great Britain

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03 FC:1806

180.00 DP

Respectfully submitted,

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BY 

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Dated: August 12, 2003